



#8/Sepd. FDS  
D/King  
10/31/01

Inventor: John T. Moore et al.  
Title: Capacitor Constructions, DRAM Constructions and  
Semiconductive Material Assemblies  
Assignee: Micron Technology, Inc.  
Serial No.: 09/603,147  
Filed: June 23, 2000

TECHNOLOGY CENTER 2500

OCT 24 2001

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

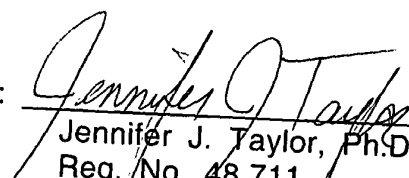
In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Examiner's attention is directed to the references listed on the attached Form PTO-1449 and copies of which are attached. No admission is made regarding whether all the submitted references are prior art.

Citation of these references is respectfully requested.

Respectfully submitted,

Date: Oct 16, 2001

Attorney:

  
Jennifer J. Taylor, Ph.D.  
Reg. No. 48,711  
Wells St. John, P.S.

10/23/2001 CCHAU1 00000046 09603147

01 FC:126

180.00 0P



2813  
+

Please use a plus sign (+) inside this box → ☒

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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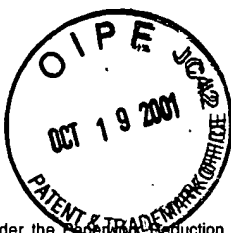
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/603,147
	<b>Filing Date</b>	June 23, 2000
	<b>First Named Inventor</b>	John T. Moore et al.
	<b>Group Art Unit</b>	2813
	<b>Examiner Name</b>	Kielin, E.
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> MI22-1443

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Return Postcard Receipt</b> Copy of cited reference RECEIVED OCT 24 2001 PATENT & TRADEMARK OFFICE
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jennifer J. Taylor, Ph.D.; Reg. No. 48,711; Wells St. John, P.S.
Signature	<i>Jennifer J Taylor</i>
Date	<i>October 16, 2001</i>

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <i>10-16-2001</i>			
Typed or printed name	Jaime M. White		
Signature	<i>Jaime M White</i>	Date	<i>10/16/01</i>

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PTO/SB/17 (12/99)  
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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete if Known

Application Number 09/603,147  
Filing Date June 23, 2000  
First Named Inventor John T. Moore  
Examiner Name Kielin, E.  
Group / Art Unit 2813  
Attorney Docket No. MI22-1443

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells St. John, P.S.

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345		Utility filing fee	
106 310	206 155		Design filing fee	
107 480	207 240		Plant filing fee	
108 690	208 345		Reissue filing fee	
114 150	214 75		Provisional filing fee	

SUBTOTAL (1) (\$0.00)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**			0
3**			0
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9		Claims in excess of 20	
102 78	202 39		Independent claims in excess of 3	
104 260	204 130		Multiple dependent claim, if not paid	
109 78	209 39		** Reissue independent claims over original patent	
110 18	210 9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	0.00
127 50	227 25		Surcharge - late provisional filing fee or cover sheet.	0.00
139 130	139 130		Non-English specification	0.00
147 2,520	147 2,520		For filing a request for reexamination	0.00
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	0.00
115 110	215 55		Extension for reply within first month	0.00
116 380	216 190		Extension for reply within second month	0.00
117 870	217 435		Extension for reply within third month	0.00
118 1,360	218 680		Extension for reply within fourth month	0.00
128 1,850	228 925		Extension for reply within fifth month	0.00
119 300	219 150		Notice of Appeal	0.00
120 300	220 150		Filing a brief in support of an appeal	0.00
121 260	221 130		Request for oral hearing	0.00
138 1,510	138 1,510		Petition to institute a public use proceeding	0.00
140 110	240 55		Petition to revive - unavoidable	0.00
141 1,210	241 605		Petition to revive - unintentional	0.00
142 1,210	242 605		Utility issue fee (or reissue)	0.00
143 430	243 215		Design issue fee	0.00
144 580	244 290		Plant issue fee	0.00
122 130	122 130		Petitions to the Commissioner	0.00
123 50	123 50		Petitions related to provisional applications	0.00
126 240	126 240		Submission of Information Disclosure Stmt	180.00
581 40	581 40		Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345		For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)				0.00
Other fee (specify)				0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

## SUBMITTED BY

Name (Print/Type) Jennifer J. Taylor, Ph.D.

Registration No. (Attorney/Agent) 48,711

## Complete (if applicable)

Telephone 624-4276

Signature Jennifer J. Taylor

Date 10/16/2001

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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